

# An approach to Content-Based Image Retrieval based on the Lucene search engine library<sup>\*</sup> (Extended Abstract) <sup>\*\*</sup>

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**Abstract.** Content-based image retrieval is becoming a popular way for searching digital content as the amount of available multimedia data increases. However, the cost of developing from scratch a robust and reliable system with content-based image retrieval facilities for large databases is quite prohibitive.

In this paper, we propose to exploit an approach to perform approximate similarity search that is based on the observation that when two objects are very close one to each other they 'see' the world around them in the same way. Accordingly, we can use a measure of dissimilarity between the views of the world at different objects, in place of the distance function of the underlying metric space. To employ this idea the low level image features (such as colors and textures) are converted into a textual form and are indexed into the inverted index by means of the Lucene search engine library. The conversion of the features in textual form allows us to employ the Lucene's off-the-shelf indexing and searching abilities with a little implementation effort. In this way, we are able to set up a robust information retrieval system that combines full-text search with content-based image retrieval capabilities.

## 1 Introduction

The continuous reduction of the cost of multimedia devices such as cameras, camcorders, and smartphones, is driving the demand for content-based image and video retrieval tools for multimedia digital libraries. Several attempts are currently being made to provide these capabilities, for instance some commercial products like SnapTell (<http://www.snaptell.com>) and Google goggles (<http://www.google.com/mobile/goggles>) have been available for on-line visual search for smartphones. However, the cost of developing and deploying from scratch a robust and reliable system with content-based image retrieval facilities could not be within the range of possibilities for everyone.

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<sup>\*\*</sup> This work is a short version of [7].

In this paper, we would like to approach the problem of similarity search by enhancing the full-text retrieval library Lucene<sup>1</sup> with content-based image retrieval facilities. Apache Lucene is a high-performance, full-featured text search engine library written entirely in Java that is suitable for nearly any application requiring full-text search abilities.

In particular, we use a technique for approximate similarity search when data are represented in generic metric spaces. The metric space approach to similarity search requires the similarity between objects of a database to be measured by means of a distance (dissimilarity) function, which satisfies the metric postulates: positivity, symmetry, identity, and triangle inequality. The advantage of the metric space approach to the data searching is its “extensibility”, allowing us to potentially work for a large number of existing proximity measures as well as many others to be defined in the future. In contrast, many approaches need objects to be represented as vectors and cannot be applied to generic metric spaces.

The basic idea exploited in our approach has been independently introduced by Amato et al [1] and Chavez et al. [4] and consists on observing that two objects  $x_1$  and  $x_2$  are very similar (which in metric spaces means that they are close one to each other), if their view of the surrounding world (their perspective) is similar as well. This implies that, if we take a set of objects from the database and we order them according to their similarity to  $x_1$  and  $x_2$ , the obtained orderings are also similar. Therefore, we can approximatively judge the similarity between any two arbitrary objects  $x_1$  and  $x_2$ , by comparing the ordering, according to their similarity to  $x_1$  and  $x_2$ , of a group of reference objects, instead of using the actual distance function between the two objects.

Clearly, it is possible to find some special examples where very similar (or even identical) orderings correspond to very dissimilar objects. For instance, if reference points are all positioned on a line, two objects that are positioned on another line orthogonal to the first one will produce the same ordering of the reference points, independently of their actual position. However, as it has been proved in [1], even with a random selection of the reference points, the accuracy of this approach is very good.

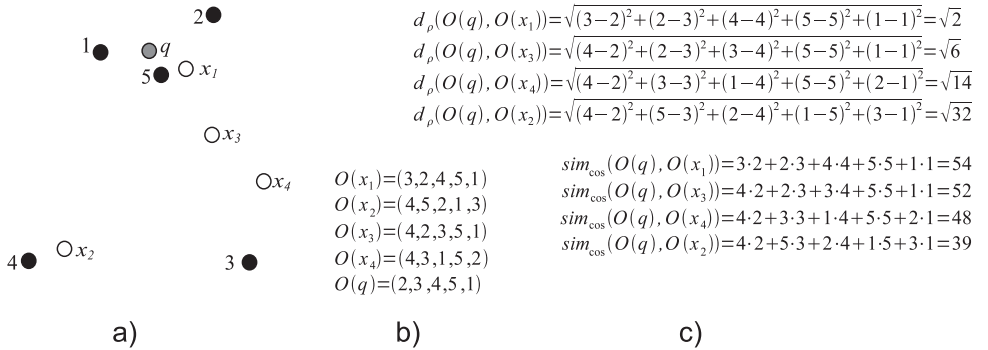
Capitalizing on the work of Amato et al [1], we also use the inverted files in our research. Another similar approach, called MiPai [5], uses a compact prefix tree for estimating the real distance order of the indexed objects with respect to a query. All these above mentioned approaches make use of index methods completely designed and developed from scratch. Although the results of these systems are quite impressive<sup>2</sup>, they probably will not easily move from research prototypes to commercial applications due to the strong effort required to maintain and support such information systems. Consider, for example, Lucene: at the time of this writing, Lucene’s core team includes about half a dozen active developers. In addition to the official project developers, Lucene has a fairly

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<sup>1</sup> <http://lucene.apache.org>

<sup>2</sup> <http://mipai.esuli.it/>

<http://mi-file.isti.cnr.it/CophirSearch/>



**Fig. 1.** Example of perspective based space transformation. a) Black points are reference objects; white points are data objects; the gray point is a query. b) Encoding of the data objects in the transformed space. c) Distance  $d_\rho$  and similarity  $s$  in the transformed space.

large and active technical user community that frequently contributes patches, bug fixes, and new features.

Moreover, only the approach in [5] provides a full-text search on descriptive textual metadata, which is, however, not combined with the content-based similarity search. Our approach instead since it is built on top of Lucene provides complex query processing by combining similarity search with the full-text search.

The structure of the paper is as follows. Section 2 formalizes the idea of searching by using the perspective of the objects and shows how this idea can be efficiently supported by the use of the Lucene library. Section 3 proposes a preliminary performance evaluation of the proposed solution.

## 2 Perspective based space transformation

Let  $\mathcal{D}$  be a domain of objects and  $d : \mathcal{D} \times \mathcal{D} \rightarrow \mathbb{R}$  be a metric distance function between objects of  $\mathcal{D}$ . Let  $R \in \mathcal{D}^m$ , be a vector of  $m$  reference objects chosen from  $\mathcal{D}$ .

Given an object  $x \in \mathcal{D}$ , we represent it as the ordering of the reference objects  $R$  according to their distance  $d$  from  $x$ . More formally, an object  $x \in \mathcal{D}$  is represented with  $O(x)$ , where  $O(x)$  is the vector of ranks of all objects of  $R$ , ordered according to their distance  $d$  from  $x$ .

We denote the rank in  $O(x)$  of a reference object  $r_i \in R$  as  $O_i(x)$ . For example, if  $O_4(x) = 3$ ,  $r_4$  is the 3rd nearest object to  $x$  among those in  $R$ .

Figure 1 exemplifies the transformation process. Figure 1a) sketches a number of reference objects (black points), data objects (white points), and a query

object (gray point). Figure 1b) shows the encoding of the data objects in the transformed space. We will use this as a running example throughout the remainder of the paper.

As we anticipated before, we assume that if two objects are very close one to each other, they have a similar view of the space. This means that also the orderings of the reference objects according to their distance from the two objects should be similar. There are several standard methods for comparing two ordered lists, such as *Kendall's tau*, the *Spearman Footrule Distance*, and the *Spearman Rho Distance* [6]. In this paper, we concentrate our attention on the latter distance, which is also used in [4]. The reason of this choice (explained later on) is tied to the way standard search engines process the similarity between documents and query. Given two ordered lists  $O(x)$  and  $O(q)$  ( $x, q \in \mathcal{D}$ ), containing the ranks of all objects of  $R$ , the Spearman Rho Distance  $d_\rho$  between  $O(x)$  and  $O(q)$  is computed as in the following:

$$d_\rho(O(x), O(q)) = \sqrt{\sum_{i=1}^m (O_i(x) - O_i(q))^2} \quad (1)$$

where  $m$  is the dimension of the vector  $R$ . This distance measures the degree in which rankings correspond with each other and it can be used in place of the metric distance  $d$  (see Figure 1c)).

In order to reduce the search cost and also, as we will see, the size of the index, it is convenient to take just the closest reference objects to represent any object that has to be indexed. Let  $k_x \leq m$  be the number of reference objects used for representing the objects. Note that, in this case, different objects will be typically represented by different reference objects, given that different objects will have different neighbor reference objects. This idea can be extended also to the query, for which we can exploit a number  $k_q \leq k_x$  of nearest reference objects. If we define two approximate version of the vectors  $\tilde{O}^k$ , such that  $\tilde{O}_i^k(x) = k + 1$  for all  $i$  such that  $O_i(x) > k$  (with either  $k = k_x$  or  $k = k_q$ ), we can still use the distance (1), i.e:

$$d_\rho(\tilde{O}^{k_x}(x), \tilde{O}^{k_q}(q)) = \sqrt{\sum_{i=1}^m (\tilde{O}^{k_x}(x) - \tilde{O}^{k_q}(q))^2} \quad (2)$$

In this case, we assume that  $x$  belongs to the dataset and  $q$  is the query. This is a generalization of the Spearman Rho Distance with location parameter for the special case  $l = k_x = k_q$  [6], which evaluates the distance (or dissimilarity) of two top- $k$  ranked lists.

Up to now, we have discussed how to compare two partial rankings of reference objects corresponding to objects and query. However, we did not say how to implement the proposed idea into a standard full-text search engine.

Most text search engine, including Lucene, use the Vector Space model to represent text. In this representation, a text document is represented as a vector of terms each associated with the number of occurrences of the term in the

document. Therefore, we have to define a textual representation each metric object of the database so that the inverted index produced by Lucene looks like the one presented above and that its built-in similarity function behaves like the Spearman Similarity rank correlation used to compare ordered lists. This can be achieved in several ways, in the following we outline our solution.

First, we associate each element  $r_i \in R$  with a unique alphanumeric keyword  $\tau_i$ . Then we use the function  $t^k(x)$ , defined in the following, to obtain a space-separated concatenation of zero or more repetitions of  $\tau_i$  words:

$$t^k(x) = \bigcup_{i=1}^i \bigcup_{j=1}^{k+1-O_i^k(x)} \tau_j$$

where, by abuse of notation, we denote the space-separated concatenation of words with the union operator  $\bigcup$ . The function  $t^k(x)$  returns a text representation of  $x$  such that, if  $r_i$  appears in position  $p$  in the list of the  $k$  reference objects nearest to  $x$ , then the term  $\tau_i$  is repeated  $(k+1) - p$  times in the text. The function  $t^k(x)$  is used to generate the textual representation of the object  $x$  to be used for both indexing and querying purposes. Specifically, we use  $k = k_x$  for indexing and  $k = k_q$  for querying.

In our case, this means that, if for instance term  $\tau_i$  corresponding to the reference descriptor  $r_i$  ( $1 \leq i \leq m$ ) appears  $n$  times, the  $i$ -th element of the vector will contain the number  $n$ , and whenever  $\tau_i$  does not appear it will contain 0. Let us refer to these vectors of size  $m$  as  $\overline{O}^{k_x}(x)$  and  $\overline{O}^{k_q}(q)$ , which correspond to  $t^{k_x}(x)$  and  $t^{k_q}(q)$ , respectively. The cosine similarity is typically adopted to determine the similarity of the query vector and a vector in the database of the text search engine, and it is defined as:

$$\text{sim}_{\text{cos}}(\overline{O}^{k_x}(x), \overline{O}^{k_q}(q)) = \frac{\overline{O}^{k_x}(x) * \overline{O}^{k_q}(q)}{\|\overline{O}^{k_x}(x)\| \|\overline{O}^{k_q}(q)\|},$$

where  $*$  is the scalar product.  $\text{sim}_{\text{cos}}$  can be used as a function that evaluates the similarity of the two ranked lists in the same way as  $d_\rho(x, q)$  defined in (2) does (although it is defined as a distance), and it is possible to prove that the first one is an order reversing monotonic transformation of the second one, and then that they are equivalent for practical aspects<sup>3</sup>. This means that if we use  $d_\rho(\tilde{O}^{k_x}(x), \tilde{O}^{k_q}(q))$  and we take the first  $k$  nearest metric objects from dataset (i.e., from the shortest distance to the highest) we obtain exactly the same descriptors in the same order if we use  $\text{sim}_{\text{cos}}(\overline{O}^{k_x}(x), \overline{O}^{k_q}(q))$  and take the first  $k$  similar objects (i.e., the greater values to the smaller ones). This is illustrated in Figure 1c). The proof of this proposition is omitted due to space limitations of this paper but may be demonstrated using simple mathematical steps. To have an idea on how these textual representations look like, consider

<sup>3</sup> To be precise, it is possible to prove that  $\text{sim}_{\text{cos}}(x, q)$  is an order reversing monotonic transformation of  $d_\rho^2(x, q)$ . However, since  $d_\rho(x, q)$  is monotonous this does not affect the ordering.

the example reported in Figure 1, and let us assume  $\tau_1 = \text{RO1}$ ,  $\tau_2 = \text{RO2}$ , etc. The function  $t$  will generate the following output

$$\begin{aligned} t^5(x_1) &= \text{"RO5 RO5 RO5 RO5 RO5 RO2 RO2 RO2 RO2 RO1 RO1 RO1 RO3 RO3 RO4"} \\ t^5(x_2) &= \text{"RO4 RO4 RO4 RO4 RO4 RO3 RO3 RO3 RO3 RO5 RO5 RO1 RO1 RO2"} \\ t^5(x_3) &= \text{"RO5 RO5 RO5 RO5 RO5 RO2 RO2 RO2 RO2 RO3 RO3 RO3 RO1 RO1 RO4"} \\ t^5(x_4) &= \text{"RO3 RO3 RO3 RO3 RO3 RO5 RO5 RO5 RO5 RO2 RO2 RO2 RO1 RO1 RO4"} \end{aligned}$$

and for the query  $q$ :

$$t^5(q) = \text{"RO5 RO5 RO5 RO5 RO5 RO1 RO1 RO1 RO1 RO2 RO2 RO2 RO3 RO3 RO4"}$$

If we exploit the idea of taking just the closest reference objects to represent any object that has to be indexed, and assuming, for instance,  $k_x = 3$  (the number of reference objects used for indexing), and  $k_q = 2$  (the number of reference objects used for generating the query), the textual representations become:

$$\begin{aligned} t^3(x_1) &= \text{"RO5 RO5 RO5 RO2 RO2 RO1"} \\ t^3(x_2) &= \text{"RO4 RO4 RO4 RO3 RO3 RO5"} \\ t^3(x_3) &= \text{"RO5 RO5 RO5 RO2 RO2 RO3"} \\ t^3(x_4) &= \text{"RO3 RO3 RO3 RO5 RO5 RO2"} \end{aligned}$$

and for the query  $q$ :

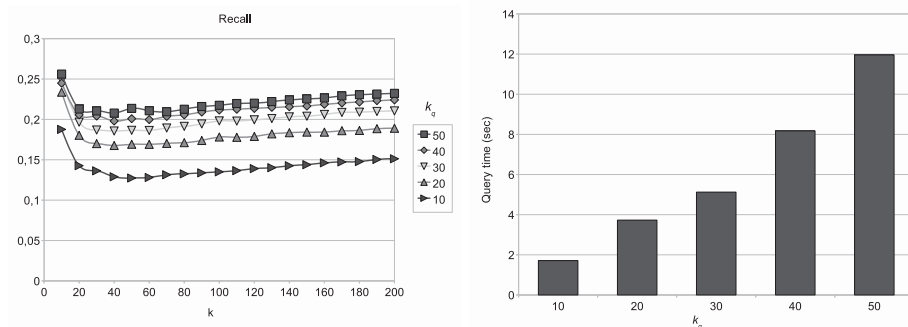
$$t^2(q) = \text{"RO5 RO5 RO1"}$$

This representation of an object will be clearly smaller than using all reference objects. In addition, this has also the effect of reducing the size of the inverted file. In fact, every object will be just inserted into  $k_x$  posting lists, by reducing their size and by also reducing the search cost.

### 3 A Real Application and Performance Evaluation

In this section, we report the results of an experimental evaluation of the proposed method. For both testing and demonstration, we developed a web user interface to perform image content based retrieval on the CoPhIR dataset [3], which consists of 106 millions images, taken from Flickr ([www.flickr.com](http://www.flickr.com)), described by MPEG-7 visual descriptors. Content based retrieval can be performed by using similarity functions of the visual descriptors associated with the images.

We have indexed the whole CoPhIR dataset and for each image, we created five Lucene fields which can be queried separately or in combination. The first field contains the unique identifier of the Flickr image. The second field maintains the textual information taken from title, and tags of the original Flickr image. The other three fields contain the content generated by the  $t$  function explained above for searching on three different pre-combined visual features. In particular, in order to support content based search, the CoPhIR project extracted several MPEG-7 visual descriptors from each image, three descriptors for describing the colors (SCD, CSD, and CLD) and two for describing textures (EHD and HTD). We have indexed three different aggregations of those descriptors, the first one



**Fig. 2.** Recall varying the number  $k$  for different values of  $k_q$  parameter (left), and query time for different values of  $k_q$  parameter (right).

combining the three color descriptors, the second one combining the two texture descriptors, and the third one combining all five descriptors. In this way we leave the possibility to the user to search for colors and textures independently or to search all the descriptors together. The weights used for aggregating the descriptors are the ones suggested in [2].

From page <http://lucignolo.isti.cnr.it/cophirUI> a demo web application of the developed search engine can be found. From that page it is possible to perform a full-text search, a similarity search starting from one of the random selected images. Besides the three types of visual similarities, thanks to the search functionality of Lucene, it also provides complex query processing by combining any of the three types of similarity search with the full-text search on descriptive metadata.

We conducted our experiments using the combination of all visual descriptors, with 20,000 reference objects and by setting  $k_x = 50$  during the indexing. We used the measure of the recall to assess the accuracy of the method. Specifically, given a query object  $q$ , the recall is defined as  $R = \frac{\#(S \cap S^A)}{\#S}$ , where  $S$  and  $S^A$  are the ordering of the  $k$  closest objects to  $q$  found respectively by the exact similarity and by the proposed method. In practice, we compare the efficacy of our solution with an algorithm that exploits a sequential scan of the whole database. The comparison was made at the same conditions, using only the similarity obtained as combination of all five MPEG-7 descriptors, without exploiting the textual content. For this purpose 100 queries were randomly selected from the database. Results are shown in Figure 2. The graphs show the recall varying the number of items retrieved  $k$  for various options of the  $k_q \leq k$ . The performance are very similar to the one presented in [5], where the same dataset was used.

Figure 2 also shows the average query processing time as function of  $k_q$ . As expected, the search cost increases with the size of  $k_q$ , and become unacceptable for  $k_q > 20$ . This performance can be easily improved if the architecture consists of multiple Lucene indexes, since Lucene search framework includes parallel and multi-threads search facilities. Our index consists of ten separated Lucene in-

dexes each one including about 1/10 of the whole dataset. If the indexes reside on different physical disks, we may obtain performance improvements; however, in our tests conducted with a single physical disk, the performance with multi-thread search was slightly better than with a single-thread search. The total space occupation of the Lucene indexes is 530GB, which means about 5.24KB for each image record.

## 4 Conclusions and future work

In this paper we presented an approach to approximate similarity search in metric spaces based on a space transformation that relies on the idea of perspective from a data point. We proved through a concrete implementation that the proposed approach has clear advantages over other methods existing in literature in terms of easiness in implementation. A major characteristic of the proposed technique is that it can be implemented by using inverted files, thus capitalizing on existing software investments. There are still some issues that are worth of investigations to further improve this technique. For instance, we can improve the quality of the approximation by reordering the first  $k$  objects of the result according to the actual distance  $d$  used in the original space of the object.

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